FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 01-2003) 124221 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/JP03/015603 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/015603 December 5, 2003 December 27, 2002 TITLE OF INVENTION PROTECTIVE ELEMENT APPLICANTS FOR DO/EO/US Yuji FURUUCHI; Hisaya TAMURA; Masahiro MATSUYOSHI; Kazutaka FURUTA; Masami KAWAZU Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 

 is attached hereto (required only if not communicated by the International Bureau).
 b. A has been communicated by the International Bureau. c. In is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. 
 is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). The International Application was filed in English. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. 
 ☐ are attached hereto (required only if not communicated by the International Bureau). b.  $\ \ \square$  have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). 9. 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.  $\boxtimes$ A preliminary amendment.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4),

A second copy of the published international application under 35 U.S.C. 154(d)(4).

20. Other items or information: Transmittal and Statement of Power of Attorney

A power of attorney and/or change of address letter.

An Application Data Sheet under 37 CFR 1.76.

A substitute specification.

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## JCC6 Rec'd PCT/PTO 10 JUN 2005

New U.S. Natora Sagoo PCT/JP03/01/50	s. APPLICATION NO. (ifference \$75.5); INTERNATIONAL APPLICATION NO. PCT/JP03/015603			ATTORNEY'S DOCKET NUMBER 124221	
21.   The following fees are submitted:				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(a)):				\$300	
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):				\$400	
International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00					
International search report provided to USPTO no later than the time at which the search fee is paid					
All situations not provided for above					
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):				\$200	
International preliminary examination report prepared by the USPTO as IPEA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage					
All situations not provided for above					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the				\$	
earliest claimed priority date (37 CFR 1.492(e)).					.,
TOTAL PAGES OF APPLICATION OVER 100 ( - 100)	÷ 50	= †	x 250 =	\$	
†round up to next integer					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	r
TOTAL CLAIMS INDEPENDENT CLAIMS	4- 20 1- 3	= 0	x 50.00 = x 200.00 =	\$	
	<u> </u>		x 200.00 = + 360.00 =	\$ \$	
MULTIPLE DEPENDENT CLAIM(S)(if applicable) + 360.00 =  TOTAL OF ABOVE CALCULATIONS =				\$900	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$900	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	-
TOTAL NATIONAL FEE =				\$900	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$900	
				Amount to be	
				refunded:	\$
57 O. I.V. 407500 i. ii.				charged:	\$
<ul> <li>a.  Check No. 167560 in the amount of \$900.00 to cover the above fees is enclosed.</li> <li>b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> </ul>					
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to					
Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
OLIFF & BERRIDGE, PLC Customer Number: 25944  NAME: /Jarnes A Quiff					
REGISTRATION NUMBER: 25944  NAME: / Jarnes A Outff  REGISTRATION NUMBER: 27,075					
Date June 10, 2005 NAME: Joel S. Armstrong					
			REGISTRATIC	ON NUMBER: 36,4	30

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Yuji FURUUCHI et al.

Application No.: New U.S. Patent Application

Filed: June 10, 2005

Docket No.: 124221

For:

PROTECTIVE ELEMENT

## TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Power of Attorney from the Assignee.

In compliance with 37 CFR §3.73(b), the undersigned hereby states that SONY <u>CHEMICALS CORP.</u> is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto.

The undersigned is authorized to act on behalf of the assignee.

In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

Respectfully submitted,

tration No. 36,4<del>30</del>

JAO:JSA/cqc

Date: June 10, 2005